Multi-source Multi-modal Domain Adaptation for Visual-textual Sentiment Classification

Anonymous Author(s) Affiliation Address email

Abstract

Learning from multiple modalities has recently been paid increasing attention 1 in sentiment analysis tasks because of its ability to capture the complementary 2 representation of the intrinsic multi-modal world. Recent deep learning-based З multi-modal sentiment analysis methods trained on large-scale labeled data cannot 4 guarantee good generalization to another target domain, because of the presence of 5 domain shift. Multi-modal domain adaptation (MMDA) aims to address this issue 6 by learning a transferable model with specific alignment across domains. However, 7 existing MMDA methods only focus on the single-source scenario with only one 8 labeled source domain. When labeled data is collected practically from multiple 9 sources with different distributions, naive application of these single-source MMDA 10 methods would fail without considering the domain shift among different sources. 11 In this paper, we propose to study multi-source MMDA for visual-textual sentiment 12 13 classification and design a novel multi-source multi-modal contrastive adversarial network, termed M2CAN, to learn domain-invariant multi-modal representations. 14 Specifically, the designed M2CAN jointly optimizes three different alignment 15 strategies: cross-modal contrastive alignment within each domain, cross-domain 16 constrastive alignment for each modality, and cross-domain adversarial alignment 17 on the fused multi-modal representations. After such alignments, different source 18 and target domains are mapped into a shared multi-modal representation space. We 19 conduct extensive experiments on a benchmark dataset with three domains and the 20 21 results demonstrate that the proposed M2CAN significantly outperforms state-of-22 the-art domain adaptation approaches for visual-textual sentiment classification. Our source code will be released. 23

24 **1** Introduction

Customers have become used to sharing their experiences and opinions of the products and service 25 they purchase by posting reviews or comments on social networks [1, 2, 3, 4, 5, 6]. Sentiment analysis 26 of the large-scale user-generated multimedia data plays a vitally important role in both customers' 27 product selection and enterprises' product improvement. On the one hand, it can influence customers' 28 decision-making when selecting what they want. For example, if the feedback from other customers 29 is dominated by negative comments, it is highly probable that the current customers change their 30 attitudes to another brand. On the other hand, it can help enterprises to analyze the drawbacks revealed 31 by customers and correspondingly improve the quality of their products and services [1, 7]. Although 32 text is one direct and popular modality to express customers' opinions [2], sentiment analysis solely 33 from text may not well reflect the customers' actual feelings. For example, if we see a comment like 34 "what a good restaurant!", we may conclude that the customer is satisfied with the dining; but if there 35 is an also an affiliated image showing a dirty and disorderly environment, we can infer that the text is 36 actually sarcasm and that the customer is upset about the dining environment. Therefore, sentiment 37

analysis from multiple modalities, such as image and text, has attracted increasing research attention
 with the help of easy photographing on mobile devices.

Recently, deep neural networks (DNNs) have achieved the state-of-the-art performances on visual-40 textual sentiment classification by effectively exploring the abundant and complementary content 41 knowledge from different modalities [8, 9, 10, 11, 12, 13]. To train a DNN well, large-scale 42 annotations are often required; however, these are not always available, since labeling multi-modal 43 data is time-consuming and even difficult. One may consider transferring the trained DNN on a labeled 44 source domain to the unlabeled target domain as an alternate solution. Obviously, direct transfer 45 cannot guarantee good generalization and often results in significant performance decay [14, 15, 16], 46 because of the presence of domain shift [17], *i.e.* the distributions of observed multi-modal data and 47 sentiment are different between the source and target domains. Aiming at minimizing the domain 48 gap, domain adaptation (DA) [18, 19, 20, 21, 22] tries to learn a model on the labeled source domain 49 that can generalize well to the target domain through specific alignment across domains, such as 50 discrepancy-based, adversarial, and self-supervision-based methods. 51

Current DA methods for visual-textual sentiment classification and other multi-modal learning 52 tasks only focus on the single-source unsupervised setting [23, 24, 25, 26, 27], by assuming that 53 the labeled source data is collected from the same distribution. However, in practice, it is more 54 practical that the labeled multi-modal data comes from different source distributions [19, 21]. For 55 example, user-generated reviews can be collected from Yelp, Twitter, and Amazon. We can naively 56 combine different sources into one source and directly apply existing single-source DA algorithms. 57 However, because of the neglect of mis-alignment across different sources, such methods may lead to 58 sub-optimal results [21] (see the comparison between single-best and source-combined MM-SADA 59 in Table 1). Therefore, effective multi-source domain adaptation (MSDA) techniques [19, 21] are 60 required to sufficiently leverage the complementary information from different sources. 61

Recently, some deep MSDA methods have been proposed. Based on different alignment strategies, 62 Zhao et al. classified them into two categories [21], *i.e.* latent space transformation [28, 29, 30, 31, 63 32, 33, 34, 35, 36, 16, 37, 38, 39] and intermediate domain generation [40, 41, 42, 43, 44]. All these 64 MSDA methods only consider a single modality, such as text or image. When extending them to 65 a multi-modal setting, they usually fail since they cannot deal well with the heterogeneity gap, *i.e.* 66 the semantic difference between data in different modalities (e.g. heterogeneity of the feature space 67 of each modality and data content) [23]. Therefore, ineffectively aligning feature representations 68 and mining cross-modal information may result in interference among different modalities, leading 69 classification models to fail to capture accurate and stable sentiment-related patterns. 70

In this paper, we generalize the single-source MMDA and single-modal MSDA problems to multi-71 source multi-modal domain adaptation (MS-MMDA) problem, and design a novel multi-source multi-72 73 modal contrastive adversarial network, termed M2CAN, for visual-textual sentiment classification. First, we use a pair of pre-trained image and text encoders in order to project images and texts from 74 different domains into a continuous latent feature space. Second, we perform different alignments to 75 learn domain-invariant multi-modal representations, including (1) cross-modal contrastive alignment 76 on the transformed lower-dimensional representations obtained by a non-linear transformation layer 77 within each domain, (2) cross-domain constrastive alignment on the original representations for 78 each modality, and (3) cross-domain adversarial alignment on the fused multi-modal representations 79 obtained by multi-modal low-rank bi-linear pooling. Finally, we train a transferable task sentiment 80 81 classifier based on the aligned multi-modal feature representations and corresponding source labels. Extensive experiments are conducted on a combined dataset consisting of three domains, *i.e.* Yelp [12], 82 Twitter [45], and MVSA [46]. The results demonstrate that M2CAN significantly outperforms the 83 state-of-the-art DA methods for visual-textual sentiment classification. 84

In summary, the contributions of this paper are threefold: (1) We propose to study a novel and 85 practical DA setting, i.e. multi-source multi-modal domain adaptation (MS-MMDA), for visual-86 textual sentiment classification. To the best of our knowledge, this is the first work that investigates 87 MMDA with multiple sources. (2) We propose a novel MS-MMDA method, termed M2CAN, 88 by contrastive and adversarial learning. Through both cross-modal alignment and cross-domain 89 alignment, M2CAN can learn domain invariant multi-modal representations and thus minimizes 90 the domain gap among multiple sources and the target. (3) We conduct extensive experiments on 91 a benchmark dataset with three different domains. As compared to the best baseline, the proposed 92 M2CAN achieves 2.7% performance gains on the average classification accuracy. 93



Figure 1: Illustration of the proposed M2CAN framework: (a) image-text feature encoding and cross-model contrastive alignment, (b) cross-domain contrastive alignment, and (c) cross-domain adversarial alignment and task classifier learning. All images and texts are encoded with encoders (ResNet50 [47] and BERT [48]) to a latent continuous feature space. Three different alignments are then performed to learn domain-invariant multi-modal representations, including cross-modal contrastive alignment on the transformed lower-dimensional representations obtained by non-linear transformation, cross-domain constrastive alignment on the original representations for each modality, and cross-domain adversarial alignment on the fused multi-modal representations obtained by multi-modal low-rank bi-linear pooling (MLB). A transferable task sentiment classifier is finally trained based on the aligned multi-modal (mm) feature representations and corresponding source labels.

94 2 Multi-source Multi-modal Domain Adaptation Network

95 We consider the multi-source domain adaptation setup for visual-textual sentiment classification, under the *covariate shift* assumption [18]. Assume access to K source domains $\{S_i\}_{i=1}^K$ with 96 labeled training data and a target domain \mathcal{T} with unlabeled training data consisting of two modalities, 97 *i.e.* image and text. Each domain S_i contains a set of examples drawn from a joint distribution 98 $p^{(S_i)}(\mathbf{x}_{text}, \mathbf{x}_{image}, \mathbf{y})$ on the input space $\mathcal{X}_{text} \times \mathcal{X}_{image}$ and the output space \mathcal{Y} , and we seek to 99 learn a sentiment classifier $f: \mathcal{X}_{text} \times \mathcal{X}_{image} \to \mathcal{Y}$ that is transferable to a target domain \mathcal{T} , where 100 only unlabeled data is available. In this section, we give an overview of M2CAN, present each 101 component of M2CAN in detail, and finally introduce the joint learning process. 102

103 2.1 Overview

The proposed M2CAN bridges the domain gap by performing both contrastive and adversarial alignments among the source and target domains. The framework is shown in Figure 1. In addition to the pre-trained encoders to encode texts and images from different domains into a semantic-preserving latent continuous feature space and the task classifier to train the final sentiment classification model based on the aligned multi-modal features, it consists of three primary alignment components:

Cross-modal contrastive alignment (CMCA): Align the encoded lower-dimensional representations between different modalities within each domain. The visual and textual representations are projected into a lower-dimensional space with a non-linear transformation layer to extract data transformation-invariant features. A contrastive loss is employed to align visual and textual representations by minimizing the spatial distance between related image and text and maximizing the distance between unrelated pairs.

Cross-domain contrastive alignment (CDCA): Align the encoded original representations between different domains for each modality. Considering that domain gap exists in each modality, the discrepancy between different domains is decreased for each modality through contrastive learning. Due to the fact that there are no negative pairs of samples, applying the same mechanism with CMCA can lead to mode collapse of non-linear layer, *i.e.* the non-linear layer might tend to project dissimilar higher dimensional features into similar lower dimensional features. Therefore, the CDCA is constructed on the original feature space.

122 Cross-domain adversarial alignment (CDAA): Align the fused multi-modal representations between 123 different domains. A fused multi-modal feature space \mathcal{X}_{mm} is created by using a bi-linear pooling layer, which learns a semantic-preserving and semantic-relevant projection $\mathcal{X}_{text} \times \mathcal{X}_{image} \rightarrow \mathcal{X}_{mm}$. Adversarial learning is employed to align the fused multi-modal features from different domains.

126 2.2 Cross-modal Contrastive Alignment

Simply extracting visual and textual features using separate encoders does not take the discrepancy 127 between features in different modalities into account. Practically, user-generated data of visual-textual 128 pairs might contain unrelated sentiment information. Furthermore, unaligned visual and textual 129 features are from different feature spaces, which might affect the sentiment classification network's 130 ability to learn appropriate patterns related to sentiment. Therefore, alignment between features from 131 multiple modalities is necessary. For this purpose, we follow [49] and incorporate a contrastive loss 132 into our network. By applying data augmentation in both images and texts, we construct positive 133 and negative sample pairs of different modalities in each domain on a lower-dimensional space 134 using a non-linear transformation layer. Since the non-linear layer is trained to be invariant to data 135 transformation, it can remove information that may be useful for the downstream task, such as the 136 color and orientation of objects, or tone-related words. By leveraging the non-linear transformation, 137 more information can be formed and maintained in the original features [49]. Assuming we have a 138 batch of visual features I, and corresponding batch of textual features T, after data augmentation, the 139 corresponding batches of visual and textual features are I' and T', the cross-modal contrastive loss 140 can be constructed as follows [50]: 141

$$\mathbb{I} = g(X_{img}), \mathbb{I}' = g(X'_{img}), \mathbb{T} = g(X_{txt}), \mathbb{T}' = g(X_{txt}),$$
(1)

$$\mathcal{L}_{CMCA} = -\frac{1}{n} \cdot \mathbb{1}^{T} \cdot \log\left[\frac{e^{\mathbb{I} \circ \mathbb{T}} + e^{\mathbb{I} \circ \mathbb{T}'} + e^{\mathbb{I}' \circ \mathbb{T}'} + e^{\mathbb{I}' \circ \mathbb{T}'}}{\mathbb{1}^{T} \cdot \left(e^{\mathbb{I} \cdot \mathbb{T}^{T}} + e^{\mathbb{I} \cdot \mathbb{T}'^{T}} + e^{\mathbb{I}' \cdot \mathbb{T}^{T}} + e^{\mathbb{I}' \cdot \mathbb{T}'^{T}}\right) \cdot \mathbb{1}}\right],\tag{2}$$

where $g : \mathbb{R}^d \to \mathbb{R}^{d'}$ is a lower-dimensional projection function, d represents the dimension of original visual and textual feature, while d' represents the dimension after projection, $X_{img} \in \mathbb{R}^{n \times d}$, $X_{txt} \in \mathbb{R}^{n \times d}$, $X'_{img} \in \mathbb{R}^{n \times d}$, and $X'_{txt} \in \mathbb{R}^{n \times d}$ represent a batch of original visual and textual feature, and a batch of augmented visual and textual feature respectively, \circ represents the Hadamard product, and n denotes the batch size. By minimizing the distance between visual and textual features from the same sample and maximizing the distance between visual and textual feature from different samples before and after data augmentation, our cross-modal contrastive loss is able to force the encoders to extract closer features from semantically similar samples and farther apart features from semantically different samples robustly, which achieves the purpose of CMCA.

151 2.3 Cross-domain Contrastive Alignment

To describe the similarity of two distributions, we introduce the Maximum Mean Discrepancy (MMD), as described below:

$$\mathcal{D}_{\mathcal{H}}(P,Q) \triangleq \sup_{f \sim \mathcal{H}} \left(\mathbb{E}_{\boldsymbol{X}^{s}} \left[f\left(\boldsymbol{X}^{s}\right) \right] - \mathbb{E}_{\boldsymbol{X}^{t}} \left[f\left(\boldsymbol{X}^{t}\right) \right] \right)_{\mathcal{H}},$$
(3)

where X^s and X^t are sampled from the marginal distributions $P(X^s)$ and $Q(X^t)$ respectively, \mathcal{H} is a class of function. Formally, MMD defines the difference between two distributions with their mean representations in the reproducing kernel Hilbert space (RKHS) [51]. In practice, the squared value of MMD is estimated with the empirical kernel mean representations:

$$\hat{\mathcal{D}}^{mmd} = \frac{1}{n_s^2} \sum_{i=1}^{n_s} \sum_{j=1}^{n_s} k\left(\phi\left(\boldsymbol{x}_i^s\right), \phi\left(\boldsymbol{x}_j^s\right)\right) + \frac{1}{n_t^2} \sum_{i=1}^{n_t} \sum_{j=1}^{n_t} k\left(\phi\left(\boldsymbol{x}_i^t\right), \phi\left(\boldsymbol{x}_j^t\right)\right) - \frac{2}{n_s n_t} \sum_{i=1}^{n_s} \sum_{j=1}^{n_t} k\left(\phi\left(\boldsymbol{x}_i^s\right), \phi\left(\boldsymbol{x}_j^t\right)\right),$$
(4)

where $x^s \in S$, $x^t \in T$, n_s and n_t denote the batch sizes, and k denotes a kernel function. We adopt the third term and ignore the first two terms in Eq. (4). Due to the existence of multiple modalities, we decompose the gap between two domains into two parts, *i.e.* visual domain gap and textual domain 161 gap, and minimize the corresponding MMD:

$$\mathcal{L}_{CDCA} = \sum_{s_1, s_2} \sum_{\mathcal{I}^{s_1}, \mathcal{I}^{s_2}, \mathcal{T}^{s_1}, \mathcal{T}^{s_2}} - \frac{2}{n_{s_1} n_{s_2}} \sum_{i=1}^{n_{s_1}} \sum_{j=1}^{n_{s_2}} k\left(\phi\left(\mathcal{I}_i^{s_1}\right), \phi\left(\mathcal{I}_j^{s_2}\right)\right) - \frac{2}{n_{s_1} n_{s_2}} \sum_{i=1}^{n_{s_1}} \sum_{j=1}^{n_{s_2}} k\left(\phi\left(\mathcal{T}_i^{s_1}\right), \phi\left(\mathcal{T}_j^{s_2}\right)\right), \\ s_1 \in Dom, s_2 \in Dom \setminus s_1, Dom = \{S_1, S_2, \dots, S_K, T\}, \mathcal{I}_i^s \in \mathcal{I}^s \in X_{img}^s \cup X_{img}^{s\prime}, \mathcal{T}_i^s \in \mathcal{T}^s \in X_{txt}^s \cup X_{txt}^{s\prime}, \end{cases}$$

where X_{img}^s and $X_{img}^{s\prime}$ are all the possible visual feature batches in domain s with and without data augmentation, X_{ixt}^s and $X_{ixt}^{s\prime}$ are are all the possible textual feature batches in domain s with and without data augmentation, $s \in \{s_1, s_2, ..., s_K\}$. We choose the linear kernel as k. Therefore, the above cross-domain contrastive loss can be simplified as below:

$$\mathcal{L}_{CDCA} = \Sigma_{s_1, s_2} \Sigma_{\mathcal{I}^{s_1}, \mathcal{I}^{s_2}, \mathcal{T}^{s_1}, \mathcal{T}^{s_2}} - \frac{2}{n_{s_1} n_{s_2}} \cdot \mathbb{1}^T \cdot \mathcal{I}_{s_1} \cdot \mathcal{I}_{s_2}^T \cdot \mathbb{1} - \frac{2}{n_{s_1} n_{s_2}} \cdot \mathbb{1}^T \cdot \mathcal{T}_{s_1} \cdot \mathcal{T}_{s_2}^T \cdot \mathbb{1}.$$
(6)

Since we cannot construct negative sample pairs as in CMCA, optimizing the above function on lower-dimensional space will cause the lower-dimensional projection function *g* to project all visual and textual features to all-zero features, resulting in feature encoders that are not able to learn any useful pattern. Therefore, the above optimization problem is run with on the original visual and textual features.

171 2.4 Cross-domain Adversarial Alignment

To better fuse the visual and textual features and produce a multi-modal feature space that includes enough sentiment-related visual-textual information, we choose a bi-linear model [52] to fuse each pair of features from different modalities into factors related with sentiment:

 $f_i = \sum_{p=1}^{N} \sum_{q=1}^{M} w_{ipq} \mathcal{I}^p \mathcal{T}^q + b_i = \mathcal{I}^T \mathbf{W}_i \mathcal{T} + b_i,$ (7)

(5)

where \mathcal{I} and \mathcal{T} are visual and textual features, and N and M represent the dimension of feature \mathcal{I} and \mathcal{T} , respectively. $\mathbf{W}_i \in \mathbb{R}^{N \times M}$ represents the weight matrix for output f_i , and b_i represents the bias. Assuming the dimension of output feature is L, the number of parameters of bi-linear model is $L \times (N \times M + 1)$ including bias vector b. According to a low-rank bi-linear method which is able to reduce the dimension of the weight matrix [53], the weight matrix can be decomposed into the product of two low-order matrices, which can be described as: $W_i = U_i V_i^T$, where $U_i \in \mathbb{R}^{N \times d}$ and $V_i \in \mathbb{R}^{M \times d}$. Therefore, the output feature f_i can be formalized as:

$$f_i = \mathcal{I}^T \mathbf{W}_i \mathcal{T} + b_i = \mathcal{I}^T \mathbf{U}_i \mathbf{V}_i^T \mathcal{T} + b_i = \mathbb{1}^T \left(\mathbf{U}_i^T \mathcal{I} \circ \mathbf{V}_i^T \mathcal{T} \right) + b_i, \tag{8}$$

where \mathbb{I} represents a column vector consisting of component 1. Still, we need two third-order tensors, U and V, for a feature vector f, whose elements are $\{f_i\}$. To reduce the order of the weight tensors by one and introduce non-linear activation function, we adopt the following bi-linear pooling function [54]:

$$\mathbf{f} = \mathbf{P}^{T} \left(\sigma \left(\mathbf{U}^{T} \mathcal{I} \right) \circ \sigma \left(\mathbf{V}^{T} \mathcal{T} \right) \right) + h_{img}(\mathcal{I}) + h_{txt}(\mathcal{T}) + \mathbf{b}, \tag{9}$$

where **f** represents our multi-modal feature, σ is a non-linear activate function, and h_x and h_y are shortcut mappings.

In order to bridge the domain gap across multiple source domains and the target domain in the fused multi-modal feature space, we construct cross-domain adversarial alignment. Specifically, we introduce a set of domain classifiers as discriminators, which are used to distinguish source features from target features for each source. By assuming that the above encoders and bi-linear pooling layer as a feature extractor, we can construct an adversarial loss [55] and train the feature extractor to generate indistinguishable features that aim to fool the discriminators. This gives the following cross-domain adversarial loss:

$$\mathcal{L}_{CDAA} = \sum_{i=1}^{K} \{ \mathbb{E}_{(x_{img}, x_{txt}) \sim (\mathcal{X}_{image}, \mathcal{X}_{text})} \log[D_i(G(x_{img}, x_{txt}))] \\ + \mathbb{E}_{(x_{img}, x_{txt}) \sim (\mathcal{X}_{image}, \mathcal{X}_{text})} \log[1 - D_i(G(x_{img}, x_{txt}))] \},$$
(10)

where G denotes the feature extractor which includes the image and text encoder and the bi-linear pooling layer, we can see $G(x_{img}, x_{txt})$ as the multi-modal feature **f**, and D_i denotes the discriminator belonging to source *i*.

198 2.5 M2CAN Learning

We can train a transferable sentiment classifier over the multi-modal feature space: $f_t : \mathcal{F} \to \mathcal{Y}$, where \mathcal{F} is the space of multi-modal feature f:

$$\mathcal{L}_{task} = -\mathbb{E}_{x_{img}, x_{txt}, y) \sim (\mathcal{X}_{image}^{S}, \mathcal{X}_{text}^{S}, Y_{S})} \Big[-\log P\Big(y | f_t \big(G(x_{img}, x_{txt}) \big) \Big) \Big].$$
(11)

²⁰¹ The final objective function of M2CAN is a weighted combination of different losses:

$$\mathcal{L}_{M2CAN} = \mathcal{L}_{task} + \lambda_1 \cdot \mathcal{L}_{CDAA} + \lambda_2 \cdot \mathcal{L}_{CMCA} + \lambda_3 \cdot \mathcal{L}_{CDCA}, \tag{12}$$

where $\lambda_1, \lambda_2, \lambda_3$ are weights for different losses. This objective function can be optimized by solving the following min-max game:

$$f_t^* = \arg\min_{f_t} \min_{G} \max_{D_1, D_2} \mathcal{L}_{\text{M2CAN}}.$$
(13)

204 **3 Experiments**

Here we introduce the experimental settings and compare the sentiment classification results of M2CAN and several state-of-the-art DA approaches, followed by ablation study and visualization.

207 3.1 Experimental Settings

Datasets. Since we are the first to study the novel MS-MMDA setting and there is no specific dataset on this task, we evaluate our approach using a combined dataset, which consists of three public datasets on visual-textual sentiment: Yelp [12], Twitter [45], and MVSA [46]. We regard the three datasets as different domains since they follow different distributions. We create multiple MS-MMDA settings by taking each domain as *target* and the rest as *sources* in each setting.

The Yelp domain [12] contains customer-generated reviews of food services, e.g. restaurants, 213 cafeterias, and dessert shops. In total, it has more than 44,000 reviews, including 244,000 images. 214 Each review has a piece of textual comment, at least 3 images, and a score of sentiment polarity 215 ranging from 1 to 5. We consider those reviews with scores of 1 and 2 as carrying negative sentiment, 216 those with scores of 3 as carrying neutral sentiment, and those with scores of 4 and 5 as carrying 217 positive sentiment. The Twitter domain [45] contains 50,000 user-generated tweets with images 218 released on Twitter. Each tweet is composed of one textual review, several images, and a three-type 219 sentiment label: negative, neutral, and positive. The MVSA domain [46] is also collected from 220 221 Twitter. Similar to the Twitter domain, each tweet in MVSA consists of one textual review, several images, and a three-type sentiment label: negative, neutral, and positive. Specifically, each tweet is 222 annotated by three experts. We abandon the tweets annotated with three different labels, and keep 223 the tweets with at least two agreements. To balance the amount of samples in different domains, we 224 randomly choose 15,000 samples as training set and 1,500 samples as test set for all domains. 225

Evaluation Metrics. Following [32, 29], we employ classification accuracy to evaluate the multimodal sentiment classification results. Larger classification accuracy indicates better performance.

228 **Baselines.** We compare M2CAN with the following baselines: (1) **Source-only**, directly training on the source domains and testing on the target domain, which includes two settings: single-best, the 229 best test accuracy on target among all source domains; source-combined, the target accuracy of the 230 model trained on the combined source domain. (2) Single-source MMDA methods, including state-231 of-the-art approaches MMAN [23], MM-SADA [25], and xMUDA [26] trained with both single-best 232 and source-combined settings. (3) Multi-source MMDA methods, including the state-of-the-art 233 approach MDAN [32] and the proposed M2CAN. We also report the results of an oracle setting, 234 where the model is both trained and tested on the target domain. We can view the oracle results as an 235 upper bound for domain adaptation. 236

Implementation Details. For the image encoder, we use Resnet-50 [47]. For the text encoder, we use a 12-layer "bert-base-uncased" version BERT [48]. The weights for \mathcal{L}_{task} , \mathcal{L}_{GAN} , \mathcal{L}_{CMCA} , and \mathcal{L}_{CDCA} are 1, 0.02, 0.02 and 0.05, respectively. We use a 2-layer multi-layer perceptron (MLP) to implement the lower-dimensional projection function *g*, and a fully-connected layer with activation function ReLU to implement both the discriminators and the task classifier. We use Adam [56] as the

Standards	Models	Yelp	Twitter	MVSA	Avg
Source-only	Source-combined (text only)	57.3	62.2	55.8	58.4
	Source-combined (text & image)	56.7	59.1	57.8	57.9
	Single-best (text & image)	56.9	61.8	57.2	58.6
Single-best MMDA	MMAN [23] MM-SADA [25] xMUDA [26]	57.5 58.1 <u>58.7</u>	$ \begin{array}{r} 62.5 \\ \underline{66.0} \\ \overline{64.3} \end{array} $	58.8 58.3 57.6	59.6 60.8 60.2
Source-combined MMDA	MMAN [23]	55.8	64.2	60.8	60.3
	MM-SADA [25]	57.5	63.2	60.3	60.3
	xMUDA [26]	56.2	63.1	62.0	60.4
Multi-source MMDA	MDAN [32]	58.6	64.1	61.2	61.3
	M2CAN (Ours)	62.5	67.9	<u>61.6</u>	64.0
Oracle (train on target)		65.2	68.6	68.4	67.4

Table 1: Comparison with the state-of-the-art DA methods on the combined dataset for visual-textual sentiment classification. All results are percentages. The best and second best classification accuracies trained on the source domains are emphasized with bold and underline respectively (same in Table 2).

optimizer with a batch size of 8. The learning rate is 0.00002 for BERT and Resnet-50, and 0.0005
 for the rest. All experiments are implemented in PyTorch and conducted on a machine with a Tesla
 V100S PCIE GPU with 32 GB memory. All implementation details are included in our source code

V100S-PCIE GPU with 32 GB memory. All implementation details are included in our source code.

245 **3.2** Comparison With State-of-the-art

The performance comparisons between the proposed M2CAN and the baselines for visual-textual sentiment classification, including source-only, single-source MMDA, and multi-source MMDA, are shown in Table 1. From the results, we have the following observations:

(1) Without alleviating the domain shift between the source and target domains, both source-only 249 settings, *i.e.* single-best and source-combined, obtain poor classification accuracies, *i.e.* 58.6% and 250 57.8%, which are almost 10% worse than the oracle setting (67.4%). When setting Yelp and Twitter 251 as the target domain, it is clear that adding visual modality results in performance degradation for both 252 single-best and source-combined source-only settings as compared to using textual modality only, 253 e.g. 56.9% and 56.7% vs. 57.3% on Yelp. This indicates that the large domain gap between source 254 and target domains results in severe interference between different modalities. These observations 255 256 motivate the research on domain adaptation.

(2) When directly applying to the MS-MMDA task, both single-best and source-combined MMDA
 methods outperform the source-only setting. Since customers' reviews vary a lot across domains,
 features that are related to sentiment also differ. Therefore, these MMDA methods that can mitigate
 the domain gap improve the sentiment classification results.

(3) Comparing the performances of source-combined and single-best MMDA methods, we can
find that naively performing single-source domain adaptation approaches on a combined dataset of
different sources might produce worse result (*i.e.* 60.3% of MM-SADA) than that on the best single
source (*i.e.* 60.8% of MM-SADA). This motivates our research on MS-MMDA.

(4) The proposed M2CAN performs the best (64.0%) among all adaptation settings. Compared to the
best results inside the source-only, single-best MMDA, source-combined MMDA, and multi-source
MMDA, M2CAN achieves 5.4%, 3.2%, 3.6%, and 2.7% performance gains, respectively. These results demonstrate that the proposed M2CAN model can achieve significantly better performance than
the state-of-the-art DA methods for visual-textual sentiment classification. The superior performance
of M2CAN benefits from the joint cross-modal alignment and cross-domain alignment.

271 3.3 Ablation Study

We conduct a series of ablation studies on the combined dataset to demonstrate the effectiveness of different components of M2CAN. The results are shown in Table 2. First, we verify the necessity of introducing extra modalities. Comparing the first two lines between with text only and with image

Table 2: Ablation study on different components of the proposed M2CAN on the combined dataset.

Models	Yelp	Twitter	MVSA	Avg
CDAA (text only)	57.2	62.8	58.1	59.4
CDAA (text & image)	58.5	64.2	<u>61.7</u>	61.5
CDAA + CMCA	61.1	64.5	61.6	62.4
CDAA + CDCA	<u>61.3</u>	<u>65.2</u>	61.9	<u>62.8</u>
CDAA + CMCA + CDCA (M2CAN)	62.5	67.9	61.6	64.0

and text for CDAA, we can see that after adding image, the average accuracy is improved by 2.1%, 275 demonstrating the effectiveness of introducing multiple modalities. Second, we investigate whether 276 it is necessary to construct the cross-domain adversarial alignment (CDAA). Comparing the first 277 two lines in Table 2 and Table 1, it is clear the performance is significantly improved (e.g. 61.5% vs. 278 57.9% when both image and text are used), demonstrating the effectiveness of adversarial alignment. 279 Third, we investigate the effectiveness of cross-modal contrastive alignment (CMCA). From the 280 third and second lines, we can see that compared to only using CDAA, adding CMCA achieves 281 0.9% performance gain on the average classification accuracy, which demonstrates the necessity 282 of the CMCA. Finally, we evaluate the influence of cross-domain contrastive alignment (CDCA). 283 Comparing CDAA vs. CDAA+CDCA and CDAA+CMCA vs. CDAA+CMCA+CDCA, we can 284 conclude that adding CDCA can further improve the performance, verifying that CDCA indeed 285 contributes to the adaptation task. 286

287 3.4 Visualization

In this section, we visualize the features of source and target samples before and after adaptation using 288 M2CAN. By using t-SNE [57] to reduce the dimensionality of samples, we plot the learned features 289 onto a 2-dimensional plane, with the results shown in Figure 2. Figure (a) represents the feature 290 representations before adaptation, while (b) represents the feature representations after adaptation by 291 M2CAN. Red represents source features and blue represents target features. As we can see, before 292 adaptation, source and target features can be obviously discriminated because of the existence of 293 domain gap; while after adaptation, we can hardly distinguish between source and target features. 294 Therefore, we can conclude that after adaptation the source and target features become more closely 295 aligned, which further demonstrates the effectiveness of M2CAN. Furthermore, we also plot the loss 296 297 curves in the training process. From Figure 3, we can observe that the different types of losses all decline and converge through the training process. 298

299 3.5 Limitations

The proposed M2CAN works under the covariate shift and closed set assumptions [18, 22] with 300 labeled source data and unlabeled target data. When other domain shifts exist, such as label shift [22] 301 and category shift [39], we cannot guarantee satisfactory domain adaptation performances. As 302 stated in [22], there are many different domain adaptation settings, *i.e.* multiple target domains and 303 open-set labels. The proposed method does not explore such characteristics and thus cannot be 304 directly applied to these settings. Incorporating existing multi-source techniques, such as adding 305 discrimination between different sources [40], would improve the performance. Considering the 306 constraint of hardware resources, such as GPU memory, we did not exploit such techniques. Further, 307 because of the absence of datasets for multi-source multi-modal domain adaptation, we only verify 308 the effectiveness of M2CAN on a combined dataset with three different domains for visual-textual 309 sentiment classification. Extending the proposed method to other multi-modal domain adaptation 310 with multiple sources and exploring how to perform MS-MMDA when some sources contain few 311 labeled and sufficient unlabeled data remains our future work. 312

313 4 Conclusion

In this paper, we studied a novel and practical domain adaptation problem, *i.e.* multi-source multimodal domain adaptation (MS-MMDA), for visual-textual sentiment classification. The designed multi-source multi-modal contrastive adversarial network (M2CAN) can learn domain-invariant multi-



Figure 2: t-SNE visualization of multi-modal features before and after adaptation. Red represents source features and blue represents target features. We use Y, T, and M as abbreviations respectively for domains Yelp, Twitter, and MVSA for better visualization.



Figure 3: Visualization of different losses during the training process, including cross-modal contrastive loss, cross-domain contrastive loss and the task classification loss.

modal features by three different alignment strategies, *i.e.* cross-modal contrastive alignment within 317 each domain, cross-domain contrastive alignment for each modality, and cross-domain adversarial 318 alignment on the fused multi-modal representation. The cross-modal contrastive loss aligns visual and 319 textual features, pulling semantic-related sample pairs closer and pushing semantic-unrelated sample 320 pairs farther. The cross-domain contrastive loss together with domain adversarial loss bridge the 321 domain gap between the source and target domains while preserving the sentiment semantics through 322 contrastive learning and adversarial learning, respectively. Extensive experiments on a combined 323 dataset demonstrate the superiority of the proposed M2CAN as compared to the state-of-the-art DA 324 methods for visual-textual sentiment classification. 325

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488 Checklist

489	1. For all authors
490 491	(a) Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope? [Yes]
492	(b) Did you describe the limitations of your work? [Yes] See Section 3.5.
493	(c) Did you discuss any potential negative societal impacts of your work? [N/A]
494	(d) Have you read the ethics review guidelines and ensured that your paper conforms to
495	them? [Yes]
496	2. If you are including theoretical results
497	(a) Did you state the full set of assumptions of all theoretical results? [N/A]
498	(b) Did you include complete proofs of all theoretical results? [N/A]
499	3. If you ran experiments
500	(a) Did you include the code, data, and instructions needed to reproduce the main ex-
501	perimental results (either in the supplemental material or as a URL)? [Yes] See the
502	supplemental material.
503 504	(b) Did you specify all the training details (<i>e.g.</i> , data splits, hyperparameters, how they were chosen)? [Yes] See Section 3.1–Implementation Details.
505 506	(c) Did you report error bars (<i>e.g.</i> , with respect to the random seed after running experiments multiple times)? [N/A]
507	(d) Did you include the total amount of compute and the type of resources used (<i>e.g.</i> , type
508	of GPUs, internal cluster, or cloud provider)? [Yes] See Section 3.1-Implementation
509	Details.
510	4. If you are using existing assets (<i>e.g.</i> , code, data, models) or curating/releasing new assets
511	(a) If your work uses existing assets, did you cite the creators? [Yes]
512	(b) Did you mention the license of the assets? [N/A]
513	(c) Did you include any new assets either in the supplemental material or as a URL? $[N/A]$
514	
515	(d) Did you discuss whether and how consent was obtained from people whose data you're
516	using/curating? [N/A]

517 518	(e) Did you discuss whether the data you are using/curating contains personally identifiable information or offensive content? [N/A]
519	5. If you used crowdsourcing or conducted research with human subjects
520 521	(a) Did you include the full text of instructions given to participants and screenshots, if applicable? [N/A]
522 523	(b) Did you describe any potential participant risks, with links to Institutional Review Board (IRB) approvals, if applicable? [N/A]
524 525	(c) Did you include the estimated hourly wage paid to participants and the total amount spent on participant compensation? [N/A]